



Proposal for RTA services

CDSL Ventures Limited (CVL) is a 100 percent subsidiary of Central Depository Services (India) Limited, India's only listed Securities Depository.

CVL established its footprint in the Financial Services Industry by becoming the first and largest KYC Registration Agency. It has forayed into the Aadhaar based eKYC space as an eKYC User Agency (KUA) and Authentication User Agency (AUA) under UIDAI. Further, CVL has established the National Academic Depository (NAD) which is a national database enabling holding of academic records viz. marksheets, convocation certificate, transfer certificate, etc. and verification thereof. CVL has also been selected as a GST Suvidha Provider (GSP) as well as Application Service Provider (ASP) by GSTN providing it an opportunity to service a wide variety of tax payers across diverse industries.

Over the years, CVL has emerged as a significant player in managing large databases and servicing a diverse cross section of clients PAN India. This has only been possible through its able leadership and the right mix of infrastructure, technological capabilities and trained personnel.

CVL has obtained Category I- Registrar & Transfer Agent registration from SEBI to enable it offer RTA services. In line with its objective of providing a basket of services to its stakeholders and investor community using its infrastructure, technological knowhow and people competence, CVL has started this vertical to broad base its service portfolio. At a time, when unlisted companies are on the brink of being brought under the purview of depositories, CVL has quickly aligned itself to the broader objectives of the regulatory authorities.

As a Registrar & Transfer Agent, CDSL is pleased to offer the following services for physical and electronic holdings as applicable.

1. Transfer, transposition, Nomination, subdivision, consolidation & split
2. Demat and remat of securities
3. Monetary & Non-monetary Corporate Action
4. Dividend & Interest Distribution
5. Maintaining register of members
6. Investor Services
7. Compliance Management, grievance handling and statutory & non statutory reports like registers, shareholding pattern, list of top 100 shareholders, Mgt-10, SEBI quarterly reports, Capital reconciliation report, grievance reports etc.
8. Issue of duplicate certificates, handling court cases etc.

CVL has established electronic connectivity with both NSDL and CDSL and equipped its infrastructure facilities for handling RTA activities.

Advantages of joining CVL for RTA services

1. High service orientation
2. Faster turnaround times
3. Technology oriented and nimble operations
4. Single window advantage
5. Services at very competitive rates

We look forward to your patronage and would be happy to service you. For further queries, please contact Mr Milind Saraf on 022 61216903 or Mr. Nilesh Chalke on 022 61216924 or drop an email to cvl.rta@cdslindia.com.

Visit us at: www.cvlindia.com
SEBI REGTRATION No: INR000004200
CDSL RTA ID: 298
NSDL RTA ID: IN200971

ADDRESS FOR RTA OPERATIONS: I-202, TOWER NO.,4 ABOVE BELAPUR RAILWAY STATION, BELAPUR , NAVI MUMBAI ;PHONE No. 022-61216903 / 022-61216924
